Abstract
As the demand for higher routing density and transfer speed increases, Via-In-Pad Plated Over (VIPPO) has become more common on high-end telecommunications products. The interactions of VIPPO with other features used on a PCB such as the traditional dog-bone pad design could induce solder joints to separate during the second and thereafter reflows. The failure has been successfully reproduced, and the typical failure signature of a joint separation has been summarized [1].

To better understand the solder separation mechanism, this study focuses on designing a test vehicle to address the following three perspectives: PCB material properties, specifically the Z-direction or out-of-plane Coefficient of Thermal Expansion (CTE); PCB thickness and back drill depth; and quantification of the driving force magnitude beyond which the separation is due to occur.

The test vehicle is designed such that each VIPPO pad is surrounded by dog-bone via pads and each of the VIPPO joints has independent daisy chain for in-situ monitoring during the second reflow cycle.

There are four different pad designs: all VIPPO design; VIPPO and dog-bone mixed pad design; VIPPO and skip via mixed pad design; and back-drilled VIPPO mixed pad design. The all VIPPO design is the baseline benchmark. The VIPPO and dog-bone mixed pad design is expected to be the worst case scenario. The VIPPO and skip via mixed pad design together with the VIPPO and back-drilled VIPPO mixed design are included to narrow the magnitude of inherent build-in stress induced by the CTE mismatch which causes the VIPPO joints to separate during the second reflow.

The test vehicles are fabricated with two different PCB materials. Material A is a traditional high-end PCB material with high Z-direction (out-of-plane) CTE; while Material B has approximately one third of the Z-direction CTE of Material A.

A Design of Experiment (DoE) with two PCB materials (Material A and Material B) and two PCB thicknesses (93mil and 125mil) has been performed. With the designed single-ball daisy chain test vehicle and installed thermocouples, the correlation between electrical continuity (daisy chain resistance) and solder joint temperature (thermocouple) can be derived.

A video was taken of two cross-sectioned samples during the second reflow cycle using a reflow simulator. The observation is consistent with the findings of the test vehicle (TV) for in-situ monitoring. The results also provide more accurate and broader information for the investigation on why, how, and when the solder separation occurred during the second reflow cycle.

Test Vehicle Design
The test vehicle is 4” x 5” in size with OSP surface finish. The board material and thickness are listed in the DoE matrix (Table 1). Three factors are considered in the DoE matrix: PCB material; PCB thickness; and the pad/via design.

Based on the findings of the previous study [1], the CTE mismatch between the PCB material and Cu inside of PCB is believed to be the primary driving force for the solder separation, particularly when the temperature is above the PCB’s Tg. To verify this, a PCB material (Material B) with a much higher Tg and much lower out-of-plane CTE, when compared to the benchmark material (Material A), has been selected to build the TVs. Based on datasheet, the Material B has a Tg at 270°C and CTE of 12 and 100 ppm/°C below and above Tg, respectively. Therefore, the TVs built with Material Bare expected to have less or no solder separation failures.
Table 1 DoE Matrix with Material Properties

<table>
<thead>
<tr>
<th>Leg</th>
<th>PCB Material</th>
<th>Tg* (°C)</th>
<th>CTE** (ppm/°C)</th>
<th>PCB Thickness (mil)</th>
<th>Reference Designator</th>
<th>U1</th>
<th>U2</th>
<th>U3</th>
<th>U4</th>
<th>No. of Board</th>
<th>No. of Part</th>
</tr>
</thead>
<tbody>
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<td>Material A</td>
<td>185¹</td>
<td>45</td>
<td>&gt;Tg</td>
<td>All VIPPO</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>5</td>
<td>10</td>
</tr>
<tr>
<td>2</td>
<td>Material A</td>
<td>125</td>
<td></td>
<td></td>
<td>VIPPO &amp; Dog-bone</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>5</td>
<td>10</td>
</tr>
<tr>
<td>3</td>
<td>Material B</td>
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<td>12</td>
<td>&lt;Tg</td>
<td>VIPPO &amp; Skip Via</td>
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<td>1</td>
<td>0</td>
<td>0</td>
<td>5</td>
<td>10</td>
</tr>
<tr>
<td>4</td>
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<td>125</td>
<td></td>
<td></td>
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<td>1</td>
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<td>0</td>
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</table>

¹ by DSC method. ² by TMA method. 
** IPC TM-650 2.4.24. CTE of Cu is 16.7 ppm/°C.

Thermal expansion depends proportionally on the material CTE and the linear length of the material, so the two PCB thicknesses are included in the DoE as the second factor, which are 93mils and 125mils. The intent is to prove that the CTE mismatch impact on solder separation can be reduced, as the PCB thickness gets thinner. In other words, the 93mil thickness TV is expected to have less solder separation than the TV with 125mil thick PCB, since the magnitude of thermal expansion for the same material is reduced due to the decrease of linear length of thermal expansion.

For the third factor, there are four different pad/via designs in the DoE. The all VIPPO design at U1 is the benchmark, which is not expected to have any solder separation failure. Due to the Cu barrel underneath the pad, the VIPPO design is expected to be stiffer than the dog-bone via design. Therefore, the VIPPO and Dog-bone mixed design at U2 is expected to have some solder separation failure. The VIPPO & Skip Via design at U3 and VIPPO & Back-drill design at U4 are prepared for the Phase III study, which is pending on the results of Phase II. The Phase III study will proceed, if the higher Tg lower CTE PCB material and PCB thickness are confirmed to be controllable factors and valid mitigation tools for solder separation defect.

**Daisy Chain Design**
The four key solder separation questions to be answered through this study are

1. Is the solder separation initiated in solid state or liquid state? In other words, is it before or after solder melting temperature of the joint?
2. Is the solder separation location-specific, such as more separation happening at component corners or more in the center?
3. Once the solder joint separates, does it regain electrical continuity? How much does the resistance value change?
4. If it reconnected electrically, is the IMC (intermetallic compound) reformed and exhibits similar long-term reliability as it was prior to the solder separation?

In order to answer these questions, a unique daisy chain design with only one VIPPO joint per chain was incorporated (Figure 1). The VIPPO joint was surrounded by dog-bone via pads, which has a CTE mismatch of 16 versus 260 ppm/°C with Material A at temperature above Tg.
There are a total of 25 chains at U2 location, which has the VIPPO and dog-bone via mixed design. Twenty-four chains are single VIPPO and dog-bone via pair. With the expectation of no solder separation failures, the rest of the thirty dog-bone via pads are all chained together in the 25th chain.

The 26th chain is for the all VIPPO site at U1 location, which is not expected to have any solder separation failure. Two thermocouples were used to monitor the temperature of the component and the board, which are the 27th and 28th channel.

**Experimental Setup**

The test vehicles were assembled on a production SMT line. Then, they were reflowed again using a production rework station. Twenty-five daisy chains and two type-K thermocouples were wired to a data logger for real time data monitoring and recording.

**Separated Percentage Analysis**

All twenty boards in the DoE matrix were reworked and analyzed. There were a few boards that required further analysis for the validity of the data. The results have been verified and shown in Table 2.

For the 125mil Material A, 84% of the solder joints with VIPPO and dog-bone mixed via design (U2) separated during the second reflow cycle. As expected, the 93mil PCB thickness with the same Material A had only 58% separated joints. It proves that the impact of CTE mismatch between Cu (VIPPO design) and PCB material (dog-bone via design) is less for the thinner PCB.
None of the solder joints with Material B exhibited solder separation. The two boards verified are included in Table 2 as the representative boards. Material B has a Tg at 270°C, which is higher than the SAC305 liquidus temperature of 217°C. Therefore, the CTE was maintained at 12 ppm/°C, which is of the same magnitude as the Cu, around 17 ppm/°C. With no solder separation on Material B, it proves that the CTE mismatch between the Cu and PCB material is the key driving force which causes solder separation.

Though the percentage of separation exhibits a trend, it also reveals there is still a likelihood that the solder joint does not separate definitively with the mixed design. There are likely other minor factors that may contribute to the separation phenomenon that have not yet been discovered.

**Separated Location Analysis**

The location analysis depicting the frequency of separated solder joints are shown in Figure 3. Please note that the total number of boards are different. As shown in Table 2, there are 6 boards for 125mil Material A, 3 boards for 93mil Material A, and 2 boards for 125mil Material B.

The pin mapping illustrating the frequency of separation was to determine if there is any significant failing trends on corner versus edge or inner pins. For the 125mil Material A boards, all 7 pins with 100% failing rate (6 out of 6) were all edge pins. A similar trend occurs with the 93mil Material A boards: all 6 pins with 100% failing rate (3 out of 3) were all edge pins. However, corner pins fail less frequently in both thicknesses. This may be an indication that the CTE mismatch (between VIPPO and dog-bone pad design) inducing the local stress (or warpage) is dominating the thermal stress inducing the global warpage during the reflow cycle. In other words, the typical thermal Moiré warpage measurement may not serve as an effective control gate for alerting of solder separation.

**Timing of Solder Separation**

To fully understand the failure mechanism of solder separation, it is important to understand that when the separation is initiated— is it in solid state or liquid state. In other words, is it the liquid solder pulling away from the substrate? Or, does the solder joint experiences a brittle crack along the IMC line? And, once separated, will it re-connect and re-form to maintain electrical continuity?
Since each daisy chain has only one VIPPO pad, the separation and reconnection temperature can be detected when the resistance value changes abruptly. A sample analysis using 125mil TV4, TV5, and TV6 is shown in Figure 4. Out of the 71 VIPPO joints on these three boards, 63 separated. All 63 separated VIPPO joints re-connected in a short period of time.

![Separation & Re-connection Temperature](image1)

**Figure 4 Separation and Re-connection Temperature**

![Duration of Separation](image2)

**Figure 5 Duration of Separation**

The majority of the VIPPO solder joint separation initiated between 210°C and 215°C and re-connected just above 217°C. It indicates the VIPPO solder joint separates from substrate in solid state, just before melting. Therefore, the failure mechanism is similar to the brittle crack along the IMC line.

Meanwhile, most of the VIPPO solder joints re-connected just after becoming molten solder. It may be due to the shape change driven by surface tension. Once the solder becomes liquid, the donut shape solder joint is reformed into a more spherical shape, which fills the separation gap and re-connects the electrical path.

The low re-connection temperature pins are in the same area as the non-separated pin area. For example, Pin 13, 16, 18, 19, 20, and 24 of TV5 are re-connected below 217°C. While on the same 4th and 6th row area, Pin 14, 17, 21, 22, and 23 did not separate during the 2nd reflow, which leaves only Pin 17 experiencing separation.

### Duration of Separation

The duration of separation is defined as the time when the resistance value changes abruptly to a value that is less than 10 Ohms. As shown in Figure 5, the duration is in the range of 4 ~ 22 sec. The overall trend is between 9 ~ 18 sec.

### Changes in Resistance Value

Since all the separated solder joints regained continuity in a few seconds, is there any change of the resistance value before and after separation? Or is there any functionality loss due to solder separation?

Most of the initial resistance are in the range of 1~3 ohms. After solder separation, re-connection and cool down to room temperature, the final resistance is in the same range of 1~3 ohms, with less than 5% change in value.

Therefore, the solder joint experiencing separation is likely passing all the subsequent electrical tests. Whether or not it will survive through the test with either additional imposed mechanical stress or thermal stress is an unknown at this time. This topic is related to the impact on long-term reliability. Both require product specific in-depth studies.

### Failure Interface

To visualize the formation of solder separation, two samples were prepared and sent to a third party lab for a close-up high resolution video recording through the reflow cycle. The parts were polished to the quarter of the first row and placed in a convection/hot air reflow simulator chamber. The heating cycle was based on the production reflow profile.

In Figure 6, the four images are from the same VIPPO joint at different time of the reflow cycle. Comparing to the image on the very left (2:33), the VIPPO joint in the middle left at time code 2:35 (221°C) exhibits a separation between the solder ball
and component substrate, when the solder is in solid state. The solder joint starts to melt at 2:42 (222°C). As the surface reflection pattern changes, the solder joint becomes fully liquidus at around 2:50 (224°C). The solder joint re-connects at 2:53 (225°C). The duration of separation is about 18 seconds, which is consistent with the data from resistance value measurements in Figure 5.

Due to the differences of thermocouple installation, the temperature reading of the reflow simulator is higher than the rework thermocouple readings by 7~10°C. After adjusting with liquidus temperature and synchronization with the data logger, the separation is initiated at 211~214°C and reconnects at 215~218°C. This is consistent with the resistance-temperature measurement from the data logger (Figure 4).

![Solder Joint Images from Reflow Simulator](image)

By reviewing the video, it is observed that the dog-bone joints showed upward pushing as the reflow temperature increases, due to the excessive out-of-plane thermal expansion of the PCB material (as the red arrow indicates in Figure 7). The VIPPO joint separates before solder liquidus at the interface between solder and IMC of the component side; a small magnitude of pop-up of VIPPO joint at such an interface has been observed along with the sign of relief of upward pushing of the nearby dog-bone joint (Figure 8).

![Solder joint image prior to separation, the dog-bone joint at the right side showed an upward pushing](image)

![Solder joint image post separation, a pop up at the interface of VIPPO joint has been observed. Also, notice the relieve of the dog-bone joint on the right side](image)
Post-melting point, the molten solder forms a spherical shape due to the surface tension, and the solder sphere retouches the previously separated top pad (the red circle in Figure 9 shows the retouching). The phenomenon is consistent with the datalogger monitoring and confirms the previous FA findings [1] as shown in Figure 10.

**Conclusions**
A thorough study of the mechanism for solder joint separation due to VIPPO and non VIPPO mixed designs has been conducted. The unique daisy chain design of the test vehicle enables independent in-situ monitoring of each VIPPO joint that is surrounded by non VIPPO joints. The results of such in-situ monitoring along with a close up video monitoring of the VIPPO joint during second reflow using a reflow simulator provide an in-depth understanding of the solder separation mechanism.

The key findings of this study are:
1. The primary driving force of solder joint separation is the out-of-plane thermal expansion of the PCB which includes two key factors: PCB material out-of-plane CTE and the thickness of PCB (or the depth of back drill);
2. The joint separation occurs before solder liquidus;
3. The separation happens at the interface between VIPPO solder and IMC at the component pad side;
4. The molten solder retouches the separated pad to regain electrical continuity but may or may not reform to be a good quality joint.
In summary, the electrical testing is not effective to identify the separated joints. The issue could be mitigated or even prevented initially, if feasible, by either selecting PCB materials that have lower out-of-plane CTE and/or extremely high Tg or reducing the thermal expansion linear length such as the use of thinner PCBs.

Acknowledgement
The authors would like to acknowledge Cherif Guirguis of the company CDI MS&FA Lab for sample preparation and failure analysis and Joseph Lee of Foxconn San Jose for the rework of test vehicles.

Reference
Transient Solder Separation of BGA Solder Joint During Second Reflow Cycle (Phase II)

Steven Perng, Weidong Xie
Cisco Systems, Inc
Table Of Contents

• Review What has been done on Phase I
• The Objectives of Phase II
• DoE Matrix & Material Properties
• Data & SEM Analysis
• Solder Separation
• Summary
• Next Step
What Is Solder Separation

- Solder Separation is observed after the 2nd reflow cycle with a VIPPO and Dog-bone via mixed design.
- After separated, the solder joint can be either fully separated or form a partially connected joint without coalescing into a reliable solder joint.
- The partially connected solder joints are likely to pass electric tests.
- In the field, under certain mechanical or thermal stress, it may fail.
- It is considered as a High Risk Latent Defect.
What Causes Solder Separation?

- Excessive Built-up Stress
- Balanced
- T > Tg
- Out-of-plane CTE 260 ppm
- Surface Tension
- CTE ~ 260 ppm
- CTE ~ 17 ppm
- CTE ~ 260 ppm
- T ~ 217°C
- CTE ~ 45 ppm
The Objectives Of Phase II

- Verify the CTE mismatch is the key driving force of Solder separation.
  - Find a PCB material with CTE in the same range as Cu.
  - Design a test vehicle with daisy chain capable of monitoring the continuity of every single ball.

- Verify the impact of PCB thickness.
  - Incorporate PCB thickness into DoE.

- Find out if the Solder Separation occurred at Liquid or Solid phase.

- Find out, after Solder Separation,
  - will the solder joint be re-connected?
  - will the solder joint maintain resistance value in a close vicinity?
  - Is the solder joint still reliable?
Test Vehicle & Daisy Chain Design

- Chain on component
- Chain on PCB
- VIPPO Pad
- Dog-Bone Via Pad
# DoE Matrix & Material Properties

<table>
<thead>
<tr>
<th>Leg</th>
<th>PCB Material</th>
<th>Tg* (°C)</th>
<th>CTE** (ppm/°C)</th>
<th>Reference Designator</th>
<th>No. of Board</th>
<th>No of Part</th>
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<tr>
<td></td>
<td></td>
<td></td>
<td>PCB Thickness (mil)</td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td></td>
<td>&lt; Tg</td>
<td>&gt; Tg</td>
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<td>1</td>
</tr>
<tr>
<td>2</td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Material B</td>
<td>270²</td>
<td>12</td>
<td>100</td>
<td>93</td>
<td>1</td>
</tr>
<tr>
<td>4</td>
<td>Material B</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* ¹ by DSC method. ² by TMA method
** IPC TM-650 2.4.24. CTE of Cu is 16.7 ppm/°C.

- The Tg of Material B is 270 °C, which is above the Max reflow temperature. Therefore, the CTE maintains at 12 throughout the reflow cycle.
Experimental Setup For The 2\textsuperscript{nd} Reflow Cycle

- The DoE includes U1 (All VIPPO) and U2 (VIPPO & Dog-bone) Mixed sites.
- Both components have been assembled by SMT process.
- There are 28 chains built-in, including 24 for VIPPO's, 1 for dog-bone, 1 for All VIPPO, and 2 for T/C's.

- A BGA rework station is used for the 2\textsuperscript{nd} reflow cycle.
- A nozzle is used to reflow both sites simultaneously.
- One T/C is placed on the board between two sites to monitor the board temperature.
- The other T/C is placed underneath the component.

- A Multifunctional Switch/Measurement Unit is used for real time in-situ resistance monitoring.
Sample Preparation

• Polish the sample to 1/3 of the first row
• Sent two samples to a third party lab for high resolution reflow simulation
• One sample is for 4-ball macro view
• The second sample is for a 2-ball zoom-in view
• The reflow simulator is equipped with a convection hot air with profiling capability.
Quick Summary Of DoE Results

- For Material A, 3 boards of 93mils and 6 boards of 125mils were analyzed.
  - For the mixed design,
    - 84% (125mil) and 58% (93mil) of VIPPO joints separated. All separated joints re-connected.
    - No separation on Dog-bone design.
- For Material B, 2 boards of 125mils were tested.
  - No separation found on either VIPPO or Dog-bone design.
Mapping Of Separated Solder Joints (VIPPO & Dog-bone Mixed Design)

- 84% VIPPO joints separated (120 out of 143)
- 7 out of 24 joints consistently failed on all 6 boards. All seven joints are on peripheral.
- Each of the joints failed at least on 3 out of the 6 boards.

- 58% VIPPO joints separated (40 out of 69)
- 6 out of 24 joints consistently failed on all 3 boards, all six joints are on peripheral.
- 3 joints didn’t separate for all three boards.

- No separation found on 2 boards, total of 48 VIPPO joints.
TV4, TV5, and TV6 of 125mil Material A are selected for analysis.

Pin 1~5 are in Data Logger 1; while Pin 6~24 are in Data Logger 2.

Pin 1~12 are toward the edge of the nozzle; while Pin 13~24 are in the center of the nozzle. Minor temperature difference is possible.

In general, the separation occurred around 210~215°C, during the solid phase (before melted).

The re-connection occurred around 215~220°C, either in solid phase or in liquid phase (after melting).

All the separated solder joints were re-connected.
• The duration of separation is around 4~20 sec.
• TV5 has only 2 out of 6 joints separated, which may skew the trend.
• Majority of separation duration are around 12~18 sec.
• All resistance and temperature measurements are routed to the data logger.
• The typical scan rate is 0.4 sec and 1 sec for 8- and 20- channel, respectively.
• However, when the measurement data is toward the MAX detection value and eventually Out-Of-Range, the scan rate increases to 2 sec and 7 sec for 8- and 20-channel, respectively.
- The “Good” joint has a well defined edge; while the separated joint exhibits a “rounded” edge, which can also be observed in the video.
- The “Good” joint has one grain structure; while the separated has two different grain structure.
- The “Broken IMC” spikes and cavities can be seen on the lower left corner of B3.
SEM of the Separated Surface (VIPPO, Top of BGA Ball, Inner Rows)

- The highlighted areas denote connected interface, as a regular solder joint.
- The exposed areas are the separated areas.
SEM of the Separated Surface (VIPPO, Top of BGA Ball, Edge Ball)

- An SEM image of the edge ball, which has been polished to 1/3 of the ball.
- The “ring” is the mark of component side pad.
- The dark black stuff may come from flux or the polishing process.
- The continuous structure in the dashed line area may be an indication of the separation.
Summary

- The VIPPO and Dog-bone mixed design will have Solder Separation during the 2nd reflow cycle, which is in consistent with the Phase I finding.
- Material A has 84% (125mil) and 58% (93mil) solder separation on the Mixed design; while Material B does not have any solder separation.
- Changing the fab from Material A (CTE~260, T>185°C) to Material B (CTE~12, T>270°C) mitigates solder separation effectively.
- Reducing PCB thickness can mitigate the solder separation risk.
- Both have evidence that the CTE mismatch between Cu and fab material is the key driving force for solder separation.
- The Solder Separation occurs at solid state. And, regains the continuity at either solid or liquid phase.
- The resistance values, before and after the separation, are all in 1~3 ohm range.
Acknowledgements

The authors would like to thank

- Cherif Guirguis of company CDI MS&FA Lab, for sample preparation and failure analysis
- Joseph Lee of Foxconn San Jose for TV rework.
- Prof. Taekyu Lee of Portland State University, for his inputs on SEM and material science related information and suggestions.
Back-up Slides
Resistance vs. Time

(125 Material A TV4a)

- Resistance (ohm)
- Run Time (sec)
Resistance vs. Time  
(125mil Material A TV4a) 

![Graph showing resistance vs. time for different materials and temperatures. The graph includes data points and lines for various conditions, with labels for each trend. The axes are labeled as follows: Resistance (ohms) on the y-axis and Run Time (sec) on the x-axis.](image-url)
Resistance vs. Time (125mil Material A TV4a)

211°C
### EDX of the Separated Surface (VIPPO, Ball Side)

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<tr>
<th>Element</th>
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<th>Atomic%</th>
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Totals 100.00